

*Tim*  
Please Direct All Correspondence to Customer Number **20995**



### AMENDMENT / RESPONSE TRANSMITTAL

Applicant : Malackowski et al.  
 App. No : 09/814,547  
 Filed : March 22, 2001  
 For : SYSTEM FOR AND METHOD OF  
 RISK MINIMIZATION AND  
 ENHANCED RETURNS IN AN  
 INTELLECTUAL CAPITAL BASED  
 VENTURE INVESTMENT  
 Examiner : D. Kesack  
 Art Unit : 3624

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 28, 2006

(Date)

*[Signature]*  
Tom Yee, Reg. No. 37,013

**Mail Stop Amendment**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified application are the following enclosures:

(X) Reply to Office Action in 10 pages.

The fee has been calculated as shown below:

The present application qualifies for Small Entity Status under 37 CFR 1.27.

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Excess Claims	22 - 22 = 0	2202 (\$25)	0 x 25 =	\$0
Excess Independent	3 - 3 = 0	2201 (\$100)	0 x 100 =	\$0
Multiple Claim	1.16(j)	2203 (\$180)		\$
1 Month Extension	1.17(a)(1)	2251 (\$60)		\$
2 Month Extension	1.17(a)(2)	2252 (\$225)		\$225
3 Month Extension	1.17(a)(3)	2253 (\$510)		\$
				<b>TOTAL FEE DUE</b> \$225

**Please Direct All Correspondence to Customer Number 20995**

- An extension of time is hereby requested by payment of the appropriate fee indicated above.
- Charge \$225 to Deposit Account No. 11-1410.
- Return prepaid postcard.
- Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.



Tom Yee  
Registration No. 57,013  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

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